

INNOVATIVE BOUTIQUE INVESTMENT MANAGERS FROM AROUND THE WORLD AND ACROSS ASSET CLASSES.

F/m Investments provides advisors and institutional investors with access to innovative investment strategies from accomplished boutique managers. We believe that active portfolio management by our boutique manager partners can produce the long-term results that are vital for investors to capture performance, mitigate risk, and reach their goals.

Boutiques

Equities: Growth, Core, Value, ESG, and Alternative

integrated

Integrated Alpha Investments

Concentrated, "high conviction" US equity portfolios, seeking to combine the strengths of quantitative and fundamental investing.

Kempen

ESG global dividend and global REIT strategies from a pioneer in sustainable investing, a division of the oldest bank in the Netherlands and research partner to F/m Investments.

BARKSDALE
INVESTMENT & RESEARCH

Concentrated US value equity portfolios seeking companies with high sustained returns on invested capital over time.

Fixed Income: Customized and Institutional

GENOA
ASSET MANAGEMENT

Custom municipal and taxable fixed income portfolios that may be personalized to the needs of advisors and investors.

Oakhurst

Institutional fixed income strategies spanning the credit spectrum, seeking enhanced yields and total returns with reduced risk.

LOGIX

Differentiated equity strategies taking an objective, and consistent, process-intensive approach to portfolio construction that prioritizes risk management in optimizing upside and downside capture.

integrated
Integrated Alpha Investments

Concentrated US Equity: Growth, Core, and Alternative

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
US Equities	Concentrated Large Cap	Large Cap Focused	✓	✓	✓
US Equities	Concentrated All Cap	All Cap Focused	✓		
US Long/Short Equity	Long/Short, Long Bias	US Long/Short Equity	✓		



Concentrated US Equity: Value

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
US Equity	Concentrated Large Cap	Large Cap Growing Value	✓	✓	
US Equity	Concentrated All Cap	All Cap Growing Value	✓	✓	



ESG: Global Dividends and REITs

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
Global Dividend Equity ADRs	ESG and Fundamental Analysis	Global Dividend ADR	✓	✓	
Global Dividend Equity	ESG and Fundamental Analysis	Global Dividend	✓		
Global REITs	ESG and "Big Data" Analysis	Global Property	✓		



Custom Bond Portfolios

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
Municipal Bonds	Custom Muni Portfolios	Intermediate Muni Bond	✓		
Municipal Bond	Custom Muni Ladder	Enhanced Muni Bond Ladder*	✓		
Fixed Income	Custom Fixed Income	Opportunistic Income	✓		
Fixed Income	Custom Fixed Income	Quality Income	✓		

*Taxable or hybrid bond ladders also available.



Institutional Fixed Income

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
Fixed Income	Diversified Core	Core Fixed Income	✓		✓
Fixed Income	Short Duration	Short Duration Investment Grade	✓		✓
Fixed Income	Institutional	Government Credit	✓		
Fixed Income	Institutional	Full Faith and Credit Income 1-3 Year	✓		
Fixed Income	High Yield	High Yield Fixed Income	✓		
Fixed Income	Short Duration High Yield	High Yield Short Duration Fixed Income	✓		✓



Concentrated US Equity: Value and Growth

			AVAILABILITY		
Asset Class	Focus	Strategy	Separate Account	Model Delivery	Mutual Fund
US Equity	Large Cap Value	Income Equity	✓	✓	
US Equity	Large Cap Growth	Income Growth	✓	✓	

(see Disclosures)

Disclosures

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FMI has approximately \$1.3 billion in Assets Under Management (AUM) as of 12/31/20. F/M Acceleration ("FMA"), the owner of FMI, provides trading, operational, and administrative services and is not a registered investment adviser. FMA maintains approximately \$3 billion in Assets under Administration (AUA), including the AUM of FMI and approximately \$1.8 billion of AUA from third parties, as of 12/31/20.

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